

Initial Audit Summary Report

Company Name : **Santa Maria Water District**

Address

Site 1: #3 M. De Leon Street, Poblacion, Santa Maria, 3022 Philippines
Site 2: Farmacia Emilia, Dulong Bayan, Poblacion, Santa Maria, 3022 Philippines

Attention : **Engr. Carlos N. Santos, Jr – General Manager**

Audit Team : Maribeth Lucanas (LM) / Elisa Cruz (EC) / Lionell Aala (LA)

Standard / Audit Type: ISO 9001:2015 / 2nd Surveillance Audit

Audit Date : 2020 September 19

Audited Sites/Scope :

Site 1 - Provision of Water Services to Concessionaires in the Santa Maria and its nearby areas.

Site 2 - Provision of Water Services to Concessionaires in the Santa Maria and its nearby areas - Engineering, Operation, Maintenance, Purchasing and Warehouse.

In the audit, the actual process flow of sample operations was examined in individual areas of the company. In doing so, the auditors reviewed the conformity of the process flows with the requirements of the standard and the descriptions in the management documentation. This audit was performed on the basis of random samples, including interviews and examination of the appropriate documents.

All information gained during the audit will be treated with strict confidentiality by the audit team and the certification body. In view of the sampling approach applied to the audit, weaknesses and nonconformities may still exist which have not been identified during the audit.

4. Audit Conclusion

<input type="checkbox"/>	The last audit revealed nonconformities which have been demonstrably corrected. The corrections and corrective actions taken in this respect have been verified.
<input type="checkbox"/>	A stage 1 audit was performed and the organization found ready for certification. Identified weaknesses, if any, have been eliminated and the corrective action associated therewith verified.
<input checked="" type="checkbox"/>	The current audit revealed the following nonconformities: Standard(s): ISO 9001:2015 No. of nonconformities Zero (0)
<input type="checkbox"/>	The major nonconformities (No. x) with individual standard elements require a re-audit to verify the effectiveness of the corrections and corrective actions (probable date: ddmm/yyyy)
<input checked="" type="checkbox"/>	The organization has established and maintains an effective system to ensure compliance with its policy and objectives. The audit team confirms in line with the audit targets that the organization's management system complies with, adequately maintains and implements the requirements of the standard(s).

Recommendation

<input type="checkbox"/>	Award of the new certificates.
<input checked="" type="checkbox"/>	Maintenance of the existing certification.
<input type="checkbox"/>	Inclusion of the changes (see Section 3) in the scope of application of existing certifications

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<input type="checkbox"/>	Maintenance or issue of the certificates only after successful completion of a re-audit.
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Dates

Due Date for the next audit

2021 March 22

Agreed date for the next audit

2021 February (+0/-3 due date
mos)

2020 September 19

Date

Maribeth ~~Lucañas~~ (LM) / Elisa Cruz (EC) / Lionell Aala (LA)

Audit Leader / Auditor(s)